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Box PATENT APPLICATION

Assistant Commissioner for Patents Re: New U.S. Patent Appln.
Washington, D.C. 20231 Our Ref: 225/44173

Sir:

Transmitted herewith for filing is the patent application of:

Ute NEGELE and Chris BECHER

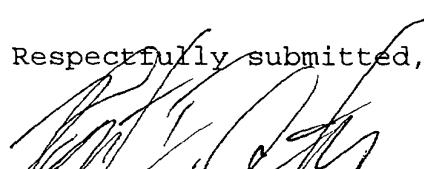
entitled: **METAL SUBSTRATE FOR A VEHICLE BODY**

Enclosed are:

1. Specification, including 27 claims (19 pages).
2. 0 Sheets of _____ Formal _____ Informal drawings showing Figs. == - ==.
3. X Declaration and Power of Attorney (unexecuted).
4. X Information Disclosure Statement.
5. Certified copy of Priority Document 197 15 062.4 filed in Germany on April 11, 1997, the priority of which is being claimed under 35 U.S.C. § 119 and 37 C.F.R. § 1.55.
6. The filing fee has been calculated as shown below:

Basic Fee		\$395/790 = \$790.00
Total Claims	<u>27</u> - 20 = <u>7</u>	x \$11/22 = \$154.00
Independent Claims	<u>3</u> - 3 = <u>0</u>	x \$41/82 = \$
Multiple Dependent Claim Presented		\$135/270 = \$
Total Filing Fee		<u>\$944.00</u>

Respectfully submitted,


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HIC/MIC/jmm

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SPECIFICATION

INVENTION:

METAL SUBSTRATE FOR A VEHICLE BODY

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METAL SUBSTRATE FOR A VEHICLE BODY

BACKGROUND AND SUMMARY OF THE INVENTION

This application claims the priority of German application 19715062.4, filed April 11, 1997, the disclosure of which is expressly incorporated by reference herein.

5 The invention relates to a metal substrate with a corrosion-proofing bond coating and a method for applying a corrosion-proofing bond coating. More specifically, this invention relates to a corrosion-proofing bond coating for a metal substrate of a vehicle body and a method for applying the same.

10 "Corrosion-proofing" coatings includes both those which slow down or mitigate corrosion as well as those which inhibit or prevent corrosion (i.e., corrosion-inhibiting coatings).

15 German patent 195 24 198 ("'198") and German patent application 196 50 478 ("'478"), filed December 5, 1996, each disclose substrates with a corrosion-proofing coating. German patent '198 discloses painted sheet-metal parts with a corrosion-proofing bond coating ("coating"). The '198 coating is based on polybasic acids from homopolymers or copolymers of carboxylic acids or functional carboxylic acid esters containing double bonds. German patent '198 also discloses a method for applying 20 this coating.

20 In addition, German patent application 196 50 478 ("'478") also discloses painted metal substrates with a corrosion-proofing bond coating. The '478 coating is based on homopolymers or copolymers of phosphonic acids or functional phosphonic acid residues containing double bonds. Both the '198 and '478

coatings provide qualitatively satisfactory corrosion protection compared to the traditional treatment where metal substrates were phosphatized and then electrophoretically dip-coated. Polybasic acids are more environmentally benign since they produce no toxic heavy metal salts or heavy metal oxides requiring separate disposal.

The disadvantage of these bond coatings is that polymers with high glass transition temperatures and/or low-molecular-weight acids have to be added to the polybasic acids to achieve sufficient wet bonding strength and hence satisfactory corrosion protection.

This is especially true for corrosion-proofing aluminum. To achieve good corrosion protection, aluminum is still frequently chromaticized. The pretreatment solutions contain Cr(VI) compounds, which are toxic and carcinogenic. Thus, the resulting films contain toxic Cr compounds. Disposal of these substances is costly and involved. On the other hand, anodizing aluminum achieves good nontoxic corrosion protection but is expensive in terms of energy and processing costs.

One object of the present invention is to provide a metal substrate with a corrosion-proofing bond coating, and a method of application thereof, which simplifies pretreatment of metals and ensures good corrosion protection as well as good paint bonding when applied to the substrate. Other objects, advantages and novel features of the present invention will become apparent from the following description of the invention when below.

The present invention overcomes the above problems and disadvantages by providing a corrosion-proofing bond coating for metal substrates and a method for applying the same. The present invention discloses a new pretreatment concept and new pretreatment material. The quality of the corrosion protection for aluminum is sufficient to eliminate the need to chromitize aluminum. Furthermore, it is unnecessary to dope known polybasic acids with additional compounds.

Bond coating substrates according to the present invention may be used in composite construction. The bond coating may be applied to steel, aluminum, galvanized steel, or magnesium. The bond coating is resistant to moisture, solvents, and corrosive substances, and provides good protection against each. The bond coating is highly stable to heat and may be used over large areas. It provides good protection for engines, bodies, individual engine and body parts, subassemblies, and coils.

The bond coating of the present invention adheres well to the substrate and to subsequently applied paint films. Furthermore, it offers good moisture resistance and wet bonding strength, and hence good corrosion protection for subsequently applied paint films. It is sufficient to apply thin films with a thickness of 10 to 5000 nm. Because of savings in materials, the substrate and the method are particularly inexpensive in production.

The present invention is a metal substrate with a corrosion-proofing bond coating, comprising an adhesion-conferring polymer,

based on organic compounds, comprising a polybismaleimide selected from the group consisting of: a bismaleimide homopolymer; a bismaleimide copolymer; a homopolymer of a maleimide-terminated oligomer; a copolymer of a maleimide-terminated oligomer; a homopolymer of a maleimide-terminated polymer; a copolymer of a maleimide-terminated polymer; copolymers thereof with an organic compound containing at least one polymerizable functional group; and mixtures of the preceding polybismaleimides.

The maleimide-terminated polymers of the invention are preferably phenolic resins, polyamides, polyether ketones, polyether sulfones, or polyesters. Polydiamides and polydianhydrides of polyfunctional organic acids are also appropriate.

Suitable bismaleimides are linear or cyclic, substituted or unsubstituted hydrocarbons with one to six carbon atoms, phenyl residues, biphenyl residues, triazoles, as well as diphenyl compounds. Examples are N,N'-ethylenebismaleimide, N,N'-hexamethylenebismaleimide, N,N'-(*m*-phenylene)bismaleimide, N,N'-(*p*-phenylene)bismaleimide, N,N'-(4,4'-diphenylene)bismaleimide, N,N'-(4,4'-diphenyl ether)bismaleimide, N,N'-(4,4'-diphenyl ketone)bismaleimide, N,N'-(4,4'-diphenyl sulfone)bismaleimide, N,N'-(4,4'-dicyclohexylmethane)bismaleimide, N,N'-(4,4'-[1,1-diphenylpropane])bismaleimide), and N,N'-(3,5-[1,2,4-triazole])bismaleimide).

The organic compounds are preferably polymerizable unsaturated compounds, in particular vinyl monomers, radically polymerizable unsaturated compounds and radically polymerizable unsaturated oligomers. Examples are styrene, substituted styrene, acrylonitrile, acrylic acid and its esters, methacrylic acid and its esters, acrylamide, glycidyl acrylate, glycidyl methacrylate, divinylbenzene, divinytoluene, vinylphosphonic acid, vinylbenzoic acid, crotonic acid, cinnamic acid, sorbic acid, undecenoic acid, oleic acid, caffeic acid, vinylsilane compounds, vinyl ethers, etc. Examples of radically polymerizable unsaturated oligomers and polymers are unsaturated polyesters, polybutadiene, polypentadiene, etc. These compounds react when dried with homo- and copolymerization.

Compounds that also contain amino groups or thio groups, and react with bismaleimides in the Michael Condensation Reaction, are also suitable.

Also suitable are compounds that contain allylic double bonds and react with bismaleimides in an EN reaction. Examples are allylphenol or o,o'-diallylbisphenol A.

Cyanate compounds that react with bismaleimides with addition and cyclization as well as trimerization are also suitable. Isocyanate compounds and epoxides are also suitable. Examples of suitable epoxides are tetraglycidylaminodiphenylmethane, poly[(phenyl 2,3-epoxypropyl ether)-co-dicyclopentadiene], 4-vinylcyclohexene 1,2-epoxide as well as 4-vinylcyclohexene diepoxide.

In addition to these compounds, carboxylic or phosphonic acids are also suitable, including those that have only one functional group. Alkyl- or arylphosphonic acids or alkyl- or arylcarboxylic acids are preferred. Examples are ethylphosphonic acid or benzoic acid.

The method according to the invention is characterized by using the above-mentioned polybismaleimides as the adhesion-conferring polymers. These are applied as a film from an organic or aqueous solution or a dispersion or emulsion. Thus a thin organic film is applied to the metal substrate. After application this film is cured at elevated temperatures, preferably 80 to 200°C. If the organic film contains suitable molecules, curing by irradiation at room temperature is also possible. The film can be applied by any traditional application methods, particularly by spraying, dipping, rolling, spread-coating, etc. Electrophoretically assisted application methods can also be used.

To apply the organic film, an organic or aqueous solution, an emulsion or dispersion containing bismaleimide, possibly with other organic compounds, is used. During the curing process these compounds and the bismaleimides react with homopolymerization or copolymerization. If necessary, suitable additives such as emulsifiers or dispersants can be added.

The method of application may be performed in two steps.
25 First, a thin organic film of the above-mentioned organic compounds with polymerizable functional groups is applied to the

substrate. This film may be applied from an organic or aqueous solution or a dispersion or emulsion. After application, the coating is dried between 20°C and 200°C. Second, a thin film of solution, emulsion, or dispersion containing bismaleimides is applied. These films are dried at temperatures between 50°C and 250°C, allowing homopolymerization of the bismaleimides. The above-mentioned polymerization reaction may also take place between the first and second steps. The first film of organic compounds adheres to the substrate due to the polymerization reaction. The second film adheres to the first film by the same mechanism.

A suitable polymerization catalyst may be added before application. Organic peroxides or ionic catalysts such as diazabicyclooctane are particularly suitable.

After application of the bond coating, a top coating may be immediately applied. It is not strictly necessary to apply a filler.

By employing the method of the present invention, many different substrates may be coated with a bond coating based on polybismaleimide, even in composite construction. For example, steel, aluminum, galvanized steel, or magnesium are suitable substrates. Because of the properties and stability of the bond coating, bodies, engines, body and engine parts, subassemblies, and coils may be coated as substrates. The method is simple and inexpensive and provides qualitatively high corrosion protection.

The invention will now be described in greater detail with the aid of example embodiments.

EXAMPLE 1

Application of unmodified bismaleimide coatings to steel substrates is described below.

A sheet steel body panel cleaned with ethyl acetate (material no. 1.0347) is coated using a spreader with a 20% solution of a mixture of bismaleimides (Technochemie: Compimide 976) in dioxane. The coated sheet metal is stabilized at 180°C for 60 minutes in a circulating-air drying cabinet. A polyurethane acrylate top coat (Mercedes-Benz MB A00198648337/A0019868337) is then applied to the coated sheet metal with a spreader and cured for 20 minutes at 80°C in a circulating air drying cabinet.

Bismaleimide coatings made in this way exhibit excellent wet bonding strengths to the substrate when kept in water for 1500 hours at 35°C. Coated sheet metal scratched for corrosion testing showed subsurface migration of 3-4 mm after four cycles in a VDA alternate [immersion] test.

EXAMPLE 2

Application of modified bismaleimide coatings on aluminum substrates is described below.

Sheet aluminum ($\text{AlMg}_{0.4}\text{Si}_{1.2}$) precleaned with ethyl acetate was cleaned by dipping for two minutes in a 20% aqueous cleaning

solution (Henkel: Primalu), then rinsing with deionized water and dried for 30 minutes at 100°C in a circulating air drying cabinet. The metal was then coated using a spreader with a 10% solution of nine parts of a bismaleimide mixture (Technochemie: Compimide 976) and one part 4-vinylcyclohexene 1,2-epoxide in dioxane and stabilized for 60 minutes at 200°C in the circulating air drying cabinet. The pieces of metal were coated as in Example 1 with a polyurethane acrylate paint.

Metal coated in this way exhibits outstanding wet bonding strengths of over 1500 hours with 35°C water storage. Coated sheet metal scratched for corrosion testing showed subsurface migration of 0.1 to 0.15 mm after 240 hours in the CASS test.

EXAMPLE 3

Application of modified bismaleimide coatings on steel substrates is described below.

A sheet steel body panel cleaned with ethyl acetate (material no. 1.0347) is applied as a film using a spreader with a 20% solution of eight parts of a mixture of bismaleimides (Technochemie: Compimide 796) and two parts 2-aminothiazole in dioxane. The coated sheet metal is stabilized at 200°C for 60 minutes in a circulating-air drying cabinet. The coated metal was then provided with a polyurethane acrylate top coat as in Example 1.

Coated sheet metal scratched for corrosion testing showed a subsurface migration of 9-11 mm after eleven cycles in a VDA alternate [immersion] test.

EXAMPLE 4

5 Application of two-layer coatings is described below.

A steel sheet body panel cleaned with ethyl acetate (material no. 1.0347) was coated by dipping for one minute in a 0.01% solution of 4-vinylbenzoic acid in one part acetone and one part deionized water, then dried for 10 minutes at 120°C in a circulating air drying cabinet. The metal treated in this way was then coated with a 20% solution of a bismaleimide mixture (Technochemie: Compimide 796) in dioxane using a spreader and stabilized for 60 minutes at 200°C in the circulating air drying cabinet. The coated metal was then given a polyurethane acrylate top coat as in Example 1. Coated sheet metal scratched for corrosion testing showed subsurface migration of 6-7 mm after eleven cycles in a VDA alternate [immersion] test.

Although the invention has been described in detail, it is to be clearly understood that the same is by way of illustration and example, and is not to be taken by way of limitation. The spirit and scope of the present invention are to be limited only by the terms of the appended claims.

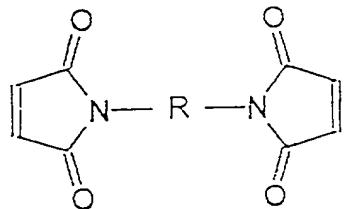
WHAT IS CLAIMED IS:

1. A metal substrate having a corrosion-proofing bond coating, comprising:

an adhesion-conferring polymer, comprising a polybismaleimide selected from the group consisting of:

- (A) a bismaleimide homopolymer;
- (B) a bismaleimide copolymer;
- (C) a homopolymer of a maleimide-terminated oligomer;
- (D) a copolymer of a maleimide-terminated oligomer;
- (E) a homopolymer of a maleimide-terminated polymer;
- (F) a copolymer of a maleimide-terminated polymer;
- (G) copolymers thereof with an organic compound containing at least one polymerizable functional group; and
- (H) and mixtures of the preceding polybismaleimides.

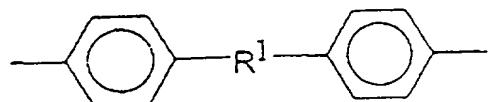
2. The combination of Claim 1, wherein the bismaleimide has the formula:



wherein R is a residue selected from the group consisting of:

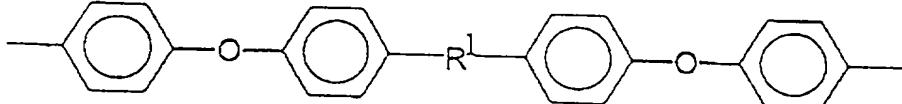
- (A) a linear, substituted C₁-C₆ hydrocarbon;
- (B) a linear, unsubstituted C₁-C₆ hydrocarbon;
- (C) a cyclic, substituted C₃-C₆ hydrocarbon;

- (D) a cyclic, unsubstituted C₃-C₆ hydrocarbon;
- (E) a phenylene residue;
- (F) a biphenyl residue;
- (G) a triazole;
- (H) a compound with the formula:



wherein R¹ is selected from the group consisting of CH₂- , -O- , -C(=O)- , -C(CF₃)₂- , -S- , -S-S- , -SO- and -SO₂- ; and

- (I) a compound with the formula:

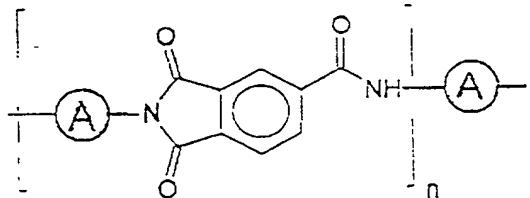


wherein R¹ is selected from the group consisting of CH₂- , -O- , -C(=O)- , -C(CF₃)₂- , -S- , -S-S- , -SO- and -SO₂- .

3. The combination of Claim 1, wherein the maleimide-terminated polymer is selected from the group consisting of:

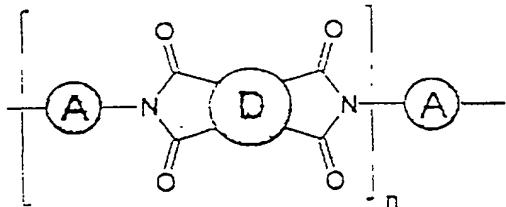
- (A) a phenol resin;
- (B) a polyamide;

- (C) a polyether ketone;
(D) a polyether sulfone;
(E) a polyester;
(F) a polydiamide of a polyfunctional acid, particularly with the formula:



wherein A stands for diamine; and

- (G) a polydianhydride of a polyfunctional acid, particularly with the formula:



wherein A stands for diamine and D for dianhydride.

4. The combination of Claim 1, wherein the organic compound is selected from the group consisting of:

- (A) a polymerizable unsaturated monomer;

- (B) a polymerizable unsaturated oligomer;
(C) a polymer;
(D) a compound containing an amino group;
(E) a compound containing a thio group;
(F) a compound containing allylic double bonds;
(G) a cyanate compound;
(H) an isocyanate compound;
(I) an epoxide;
(J) an alkylcarboxylic acid;
(K) an arylcarboxylic acid;
(L) an alkylphosphonic acid; and
(M) an arylphosphonic acid.

5. The combination of Claim 4, wherein the organic compound has one or two functional groups.

6. The combination of Claim 1, wherein the coating has a thickness of 10 to 5000 nm.

7. Method for applying a corrosion-proofing bond coating to

a metal substrate, comprising

- (A) cleaning and de-greasing a substrate;
(B) applying to the substrate a bond coating comprising an adhesion-conferring polymer; and
(C) stabilizing the bond coating on the substrate surface;

wherein the adhesion-conferring polymer comprises at least one polybismaleimide selected from the group consisting of:

- (1) a bismaleimide homopolymer;
- (2) a bismaleimide copolymer;
- (3) a homopolymer of a maleimide-terminated oligomer;
- (4) a copolymer of a maleimide-terminated oligomer;
- (5) a homopolymer of a maleimide-terminated polymer;
- (6) a copolymer of a maleimide-terminated polymer;
- (7) copolymers thereof with an organic compound containing at least one polymerizable functional group;
- (8) and mixtures of the preceding polybismaleimides.

8. The method of Claim 7, wherein the bond coating is applied from a solution selected from the group consisting of: an organic solution and an aqueous solution.

9. The method of Claim 7, wherein the bond coating is applied from a dispersion.

10. The method of Claim 7, wherein the bond coating is applied from an emulsion.

11. The method of Claim 7, wherein the bond coating is stabilized by irradiation.

12. The method of Claim 7, wherein the bond coating is stabilized by heat.

13. The method of Claim 8, wherein the bond coating is applied from a solution having a concentration of 5 to 30 weight percent.

14. The method of Claim 8, wherein the solution contains at least one catalyst selected from the group consisting of organic peroxides and ionic catalysts.

15. The method of Claim 8, wherein the solution contains additives selected from the group consisting of dispersants and emulsifiers.

16. The method of Claim 12, wherein the bond coating is stabilized by heating at temperatures of 50°C to 250°C.

17. The method of Claim 12, wherein the bond coating is stabilized by heating at temperatures of 80°C to 200°C.

18. The method of Claim 7, further comprising:

applying to the substrate prior to application of the bond coating a film of at least one organic compound containing polymerizable functional groups and then stabilizing the film by heat.

19. The method of Claim 18, wherein the film is applied from a solution.

20. The method of Claim 18, wherein the film is applied from a solution having a concentration of 5 to 30 weight percent.

21. The method of Claim 18, wherein the film is stabilized by heating at temperatures of 20°C to 200°C.

22. The method of Claim 18, wherein the film is stabilized by heating at temperatures of 70°C to 140°C.

23. The method of Claim 7, further comprising:

 applying a top coating to the bond coating on the substrate surface after stabilization of the bond coating on the substrate surface.

24. The method of Claim 7, wherein the substrate is selected from the group consisting of: steel, aluminum, galvanized steel, and magnesium substrates.

25. The method of Claim 7, wherein substrate is formed in a shape from the group consisting of bodies, engines, body parts, engine parts, subassemblies, and coils.

26. The combination of claim 1, wherein the metal substrate is an automobile body.

27. A corrosion-proofing bond coating for a metal substrate, comprising:

a polybismaleimide selected from the group consisting of:

- (A) a bismaleimide homopolymer;
- (B) a bismaleimide copolymer;
- (C) a homopolymer of a maleimide-terminated oligomer;
- (D) a copolymer of a maleimide-terminated oligomer;
- (E) a homopolymer of a maleimide-terminated polymer;
- (F) a copolymer of a maleimide-terminated polymer;
- (G) copolymers thereof with an organic compound containing at least one polymerizable functional group; and
- (H) and mixtures of the preceding polybismaleimides.

ABSTRACT OF THE DISCLOSURE

The invention relates to a metal substrate with a corrosion-proofing bond coating containing adhesion-conferring polymers, based on organic compounds, as well as a method for applying the bond coating. The metal substrate may be that of a vehicle body. The bond coating contains polybismaleimides as the adhesion-conferring polymers, which may be homopolymers or copolymers of bismaleimides and/or maleimide-terminated oligomers or polymers, which can be copolymers of these compounds and organic compounds with polymerizable functional groups. The bond coating is applied as a solution, emulsion, or dispersion, and fixed by heating or irradiation.

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DECLARATION AND POWER OF ATTORNEY - PATENT APPLICATION

As a below named inventor, I hereby declare that my citizenship, postal address and residence are as stated below; that I verily believe I am the original, first and sole inventor (if only one inventor is named below) or a joint inventor (if plural inventors are named below) of the invention entitled:

METAL SUBSTRATE FOR A VEHICLE BODY

the specification of which

X is attached hereto, or

— was filed on _____ as Application Serial No. _____ and
was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to be material to patentability as defined in 37 CFR §1.56. I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

19715062.4
(Number)

Germany
(Country)

11/4/97
(Day/Month/Year)

Set (Number)

(Country)

(Day/Month/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information known to be material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)

I hereby appoint as principal attorneys Martin Fleit, Reg. No. 16,900; Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; Jeffrey D. Sanok, Reg. No. 32,169; Richard R. Diefendorf, Reg. No. 32,390; and Paul A. Schnose, Reg. No. 39,361, to prosecute and transact all business in the Patent and Trademark Office connected with this application and any related United States and international applications. Please direct all communications to:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DECLARATION AND POWER OF ATTORNEY

Page 2

Attorney Docket No. 225/44173

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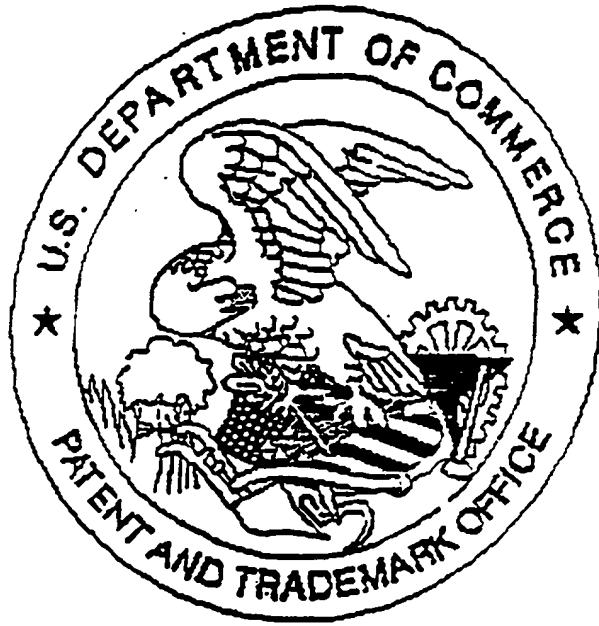
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(signature of 2nd inventor)

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